SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287 Estimated average burder hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>UBBEN JEFFREY W</u>				2. Issuer Name and Ticker or Trading Symbol <u>EXXON MOBIL CORP</u> [XOM]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			
(Last)	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 01/03/2023						Officer (give title below)		er (specify	
1170 GORGAS AVENUE				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) SAN FRANCISCO (City)	CA (State)	94129 (Zip)								X Form filed by One Reporting Person Form filed by More than One Reporting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date			2. Transaction Date (Month/Day/Year	Execution Date,	3. Transaction Code (Instr. 8)		4. Securities Ad Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	(A) or (D)	Price			(1150.4)	
Common Stock, without par value (Common Stock) 01/			01/03/2023		A		2,500	Α	(1)	13,000	D ⁽²⁾⁽⁴⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

5. Number of

Acquired (A)

or Disposed of

(D) (Instr. 3, 4

(D)

Derivative

Securities

and 5)

Transaction

Code (Instr.

8)

Code v (A) 6. Date Exercisable and

Expiration

. Date

Expiration Date

. (Month/Day/Year)

Common Stock

1. Title of

Derivative

Security (Instr. 3)

Explanation of Responses:

1. Restricted stock grant.

2. The restricted stock grant was awarded to Mr. Jeffrey W. Ubben ("Mr. Ubben" or the "Reporting Person"). The Reporting Person is deemed to hold the award for the benefit of certain funds (the "In-Cap Funds") managed by Inclusive Capital Partners, L.P. ("In-Cap") and indirectly for the benefit of In-Cap, and may, after vesting, if applicable, transfer the award directly to the In-Cap Funds.

Date

Exercisable

3. The securities reported herein are held by the In-Cap Funds. Mr. Ubben indirectly controls In-Cap.

4. The filing of this statement shall not be deemed an admission that the Reporting Person is the beneficial owner of the securities reported herein for purposes of Section 16 of the Securities Act of 1934, as amended, or otherwise. The Reporting Person expressly disclaims beneficial ownership of the securities reported herein except to the extent of his pecuniary interest therein.

/s/ Jeffrey W. Ubben	<u>01</u>
** Signature of Reporting Person	Da

Amount

Number

of Share

7. Title and Amount of

Derivative Security

(Instr. 3 and 4)

Title

curities Underlying

1/04/2023 ate

9. Number of

derivative

Securities

Following

Reported Transaction(s)

(Instr. 4)

Owned

Beneficially

1,177,000

8. Price of

Derivative

Security

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

3. Transaction

(Month/Dav/Year

Date

Conversion

or Exercise

Price of

Derivative

Security

3A. Deemed

if anv

Execution Date

(Month/Day/Year)

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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See

footnotes(3)(4)

11. Nature

of Indirect

Beneficial

(Instr. 4)

Ownership

T

10

Ownership

Direct (D)

or Indirect

(I) (Instr. 4)

Form: