SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>UBBEN JEFFREY W</u>			Date of Event Restatement (Month/ 03/01/2021		3. Issuer Name and Ticker or Trading Symbol EXXON MOBIL CORP [ XOM ]						
(Last)	(First)	(Middle)			4. Relationship of Reporting Person( (Check all applicable)				5. If Amendment, Date of Original Filed (Month/Day/Year)		
572 RUGER STREET, SUITE B						Officer (give title	10% Owner Other (speci		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) SAN FRANCISCO	СА	94129			below)		below)			y One Reporting Person y More than One Reporting	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					Amount of Securities eneficially Owned (Instr	. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, without par value					1,500,000		I		See footnotes <sup>(1)(2)</sup>		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Derivative Security (Instr. 4)		Underlying	4. Conversion or Exercis	se (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivative Security	Indirect (I) e (Instr. 5)		

Explanation of Responses:

1. The securities reported herein are held by a fund managed by Inclusive Capital Partners, L.P., a Delaware limited partnership ("In-Cap"). Mr. Jeffrey W. Ubben (the "Reporting Person") indirectly controls In-Cap.

2. The filing of this statement shall not be deemed an admission that the Reporting Person is the beneficial owner of the securities reported herein for purposes of Section 16 of the Securities Act of 1934, as amended, or otherwise. The Reporting Person expressly disclaims beneficial ownership of the securities reported herein except to the extent of his pecuniary interest therein.

<u>/s/ Jeffrey W. Ubben</u> \*\* Signature of Reporting Person 03/03/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.